

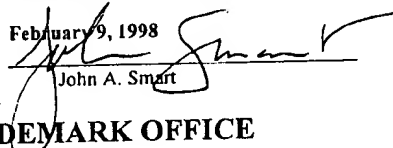
PATENT

Docket No. SF/0014.01

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

on February 9, 1998

By: 
John A. Smart

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sethuraman Suresh et al.

Serial No.: 08/923,612

Filed: September 4, 1997

For: System and Methods for
Synchronizing Information Among
Disparate Datasets

Examiner: Unassigned

Art Unit: Unassigned

RESPONSE TO MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

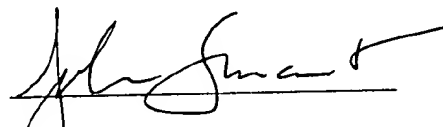
Sir:

In response to the Notice to File Missing Parts, dated January 8, 1998, the following items(s) are submitted herewith: (a) *Signed Declaration and Power of Attorney*, (b) *Verified Statement Claiming Small Entity Status*, (c) *Filing fee for Small Entity (\$495 check and \$10 check, totaling \$505)*, and (d) *Copy of the Notice to File Missing Parts, with fee under 37 CFR § 1.16(e) for Small Entity (\$65 check)*. If needed, the undersigned can be reached at (408) 395-8819.

Respectfully submitted,

Date:

2.9.98


John A. Smart
Reg. No. 34,929

708 Blossom Hill Rd., Suite 201
Los Gatos, CA 95032
(408) 395-8819

SF/0014.01

Bank of America
 Scotts Valley Branch 1129
 4525 Scotts Valley Drive
 Scotts Valley, CA 95066 (408) 457-3560

JOHN A. SMART, ESQ.
 106 BORDEAUX LANE
 SCOTTS VALLEY, CA 95066

Pay to the order of: Commission of Patents + Trademarks

7

08/923,612

SF/0014.01

Filing Fee Invoice

THIS CHECK IS DELIVERED FOR PAYMENT ON THE ACCOUNT LISTED

⑈0001200⑈ ⑆121000358⑆ 11212622108933⑈

PATENT

U.S. PATENT & TRADEMARK OFFICE

: date of receipt of the following document(s), and return

response to Missing Parts; w/ (a) Signed Declaration and Power of Attorney, (b) Verified Statement Claiming Small Entity Status, (c) Filing fee for Small Entity (\$495 check and \$10 check, totaling \$505), and (d) Copy of the Notice to File Missing Parts, with fee under 37 CFR § 1.16(e) for Small Entity (\$65 check)

PATENT

TO THE U.S. PATENT & TRADEMARK OFFICE

Please stamp the date of receipt of the following document(s), and return this card to us:

Document(s): *Response to Missing Parts; w/ (a) Signed Declaration and Power of Attorney, (b) Verified Statement Claiming Small Entity Status, (c) Filing fee for Small Entity (\$495 check and \$10 check, totaling \$505), and (d) Copy of the Notice to File Missing Parts, with fee under 37 CFR § 1.16(e) for Small Entity (\$65 check)*

Date Mailed: **February 9, 1998**

Serial No.: **08/923,612**

Docket No.: **SF/0014.01**

Attorney: **John A. Smart; Reg. No. 34,929**



Date Mailed: **February 9, 1998**

Serial No.: **08/923,612**

Docket No.: **SF/0014.01**

Attorney: **John A. Smart; Reg. No. 34,929**

Docketed
by JAS



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/923,612 09/04/97 SURESH S SF/0014.01

0232/0108

JOHN A SMART
708 BLOSSOM HILL RD
SUITE 201
LOS GATOS CA 95032

NOT ASSIGNED

2756

DATE MAILED:

01/08/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 150.00 for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☒ large entity ☐ small entity (verified statement filed), is \$ 1140.

☒ 1. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 220.00, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

☐ is missing.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

☒ missing.

☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN

Applicant or Patentee: Sethuraman Suresh et al.Serial or Patent No.: 08/923,612Filed or Issued: September 4, 1997Title: System and Methods for Synchronizing Information Among Disparate Datasets

I hereby declare that I am

☐ the owner of the small business concern identified below:☒ an official of the small business concern empowered to act on behalf of the concern identified below:NAME OF SMALL BUSINESS CONCERN Starfish Software, Inc.ADDRESS OF SMALL BUSINESS CONCERN 1700 Green Hills Road, Scotts Valley, CA 95066

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled System and Methods for Synchronizing Information Among Disparate Datasets
by inventor(s) Sethuraman Suresh et al.

described in:

☐ the specification filed herewith☒ application Serial No. 08/923,612, filed September 4, 1997☐ Patent No. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING John HansenTITLE OF PERSON IF OTHER THAN OWNER Vice PresidentADDRESS OF PERSON SIGNING 1700 Green Hills Road, Scotts Valley, CA 95066SIGNATURE 

DATE

19 JAN 98